CURRICULUM VITAE

JOHN T. SCHOLZ

Department of Political Science Florida State University Tallahassee, Fl 32305-2230 Phone: (850) 644-7304 e-mail: John.Scholz @ fsu.edu

EDUCATION

Harvard College (B.A. in Government, 1968, Magna Cum Laude)

University of California/Berkeley: (Ph.D. in Political Science, 1977; M.S. in Resource Economics, 1974; M.A. in Political Science, 1972)

RESEARCH AND TEACHING FIELDS

Politics, Administration, and Public Policy, with special emphasis on political economy and institutions, organization and decision theory, environmental policy and water conflicts, citizenship and taxes, enforcement, government regulation of business.

EMPLOYMENT

Florida State University, Eppes Professor of Political Science	2001-
State University of New York at Stony Brook	
Associate Dean, Social and Behavioral Sciences	1993-1996
Graduate Program Director, Department of Political Science	1987-1992
Professor, Department of Political Science	1992-2001
Associate Professor, Department of Political Science	1985-1992
Assistant Professor, Department of Political Science	1979-1985
Senior Research Associate, National Academy of Science,	
Panel on Taxpayer Compliance (on leave from SUNY)	1985-1986
University of California at Berkeley	
Lecturer, Graduate School of Public Policy	1979
Research Associate, Center for Law and Society	1977-1979
Teaching Assistant	1972-1974
Peace Corps in Nepal	1968-1971

HONORS, FELLOWSHIPS AND GRANTS

National Science Foundation

"Information Or Credibility? Policy Networks and the Evolution of Cooperation"

VITA, John T. Scholz, p. 2

(with TK Ahn)	\$415,465	2005-2008		
"Policy Networks and Federal Enforcement"	\$200,000	2002-2004		
"The Politics of Cooperation: CBEP Agreements"	\$215,000	1998-2001		
"Democracy and Collective Action: Strategies, Heurist	ics, and Cooperat	ion"		
•	\$65,000	1996-1997		
"Democratic Controls over Tax Enforcement"	\$180,000	1992-1995		
Matching Survey Data and Government Records (Supp				
8	\$40,000	1988		
"Norms, Self-Interest, and Taxpayer Decisions: "Adapt				
Troinis, beir interest, and Tampayer Beelstone. Troupe	\$350,000	1987-1991		
"Responsiveness and Effectiveness of OSHA's Regula				
Responsiveness and Directiveness of OSIII is Regula	\$96,000	1985-1988		
"Cornerate Degulatory Compliance"	\$35,000			
"Corporate Regulatory Compliance"	\$55,000	1980-1981		
Atlantic Coast Conference Presidential Competition, Water Resources Research in Major Watersheds in Southeast Asia: Vietnam and China Collaboration 2006				
Fulbright Fellowship, Nepal August-December		1999		
Environmental Protection Agency/ National Science Foundatio	n STARS grant			
Sustainable Development and Cooperation \$100,410	ii 51 AKS grain	1998-2001		
Sustamable Development and Cooperation \$100,410		1990-2001		
Visiting Scholar, Australian National University (summer)		1993		
Australian Tax Office, evaluation of Project-Based Audits (\$5,0	000)	1993		
Australian Tax Office, evaluation of Project-Based Audits (\$5,0	,00)	1773		
Russell Sage Fellow, New York		1992-1993		
Occupational Safety and Health Administration Effectiveness of Complaint Inspections \$10,000		1992		
Effectiveness of complaint inspections \$\psi_10,000		1,,,2		
Asia Foundation				
Parliamentary Elections in Nepal \$30,000		1991		
Tarnamentary Elections in repair \$50,000		1771		
University Awards (SUNY)				
SUNY Matching Grant: 1986 Tax Reform Act Study	\$26,000	1987-1988		
"Adaptive Model of Agency-Congress Relationship" \$		1987-1988		
Adaptive Winder of Agency-Congress Relationship	3,300	1903		
National Institute of Mental Health				
	20,000	1001 1002		
Organizational Post-Doctoral Fellowship at Stanford \$	20,000	1981-1982		
National Institute of Health		1050 1000		
Research Initiation Grant "Hospital Regulation" \$5,000		1979-1980		
Gardal Galance Processals Commett				
Social Science Research Council				
Dissertation Research Grant		1974-1976		
NDFL (Title VI) Study Fellowship		1972-1973		

Harvard College

Thesis Research Grant 1967 National Honorary Scholarship 1963-1968 Research Assistant 1964-1968

PUBLICATIONS

Articles

- "Do Networks Solve Collective Action Problems? Credibility, Search, and Collaboration" (with Ramiro Berardo and Brad Kile) <u>Journal of Politics</u> forthcoming, April 2008.
- "Cooptation or Transformation? Local Policy Networks and Federal Regulatory Enforcement" (with Cheng-Lung Wang) <u>American Journal of Political Science</u>, 50(1): 81-97, 2006
- "Contractual Compliance and the Federal Income Tax System" <u>Journal of Law and Policy</u> 13:139 203, 2003.
- "Building Consensual Institutions: Networks and the National Estuary Program" (with Mark Schneider, Mark Lubell, Denisa Midruta, Matt Edwards) <u>American Journal of Political Science</u>, 47:143-158, 2003
- "Watershed Partnerships and the Emergence of Collective Action Institutions" (with Mark Lubell, Mark Schneider, and Mihriye Mete). <u>American Journal of Political Science</u> 46: 148-163, 2002.
- "Cooperation, Reciprocity, and the Collective Action Heuristic" (with Mark Lubell). <u>American Journal of</u> Political Science, 45: 160-178, 2001.
- "Social Networks and Citizen Responses to Legal Change" (with Christine Roch and Kathleen McGraw). American Journal of Political Science, 44: 777-791, 2000.
- "Equity, Efficiency, and Politics: Democratic Controls on the Tax Collector (with B. Dan Wood) <u>American</u> Journal of Political Science, 43: 1166-1188, 1999.

- "Enforcement Policy and Corporate Misconduct: The Changing Perspective of Deterrence Theory" <u>Law and Contemporary Problems</u>, 60:253-268, 1998.
- "Adaptive Political Attitudes: Duty, Trust, and Fear as Monitors of Tax Policy" (with Mark Lubell), American Journal of Political Science, 42: 903-920, 1998.
- "Trust and Taxpaying: Testing the Heuristic Approach to Collective Action" (with Mark Lubell), <u>American Journal of Political Science</u>, 42: 398-417, 1998.
- "Controlling the IRS: Principals, Principles, and Public Administration, (with Dan Wood), <u>American Journal of Political Science</u>, 42:141-162, 1998.
- "Can Government Facilitate Cooperation? An Informational Model of OSHA Enforcement" (with Wayne Gray) American Journal of Political Science 41: 693-717, 1997.
- "Duty, Fear, and Tax Compliance: The Heuristic Basis of Citizenship Behavior (with Neil Pinney) <u>American Journal of Political Science</u> 39: 490-512, 1995.
- "Does Regulatory Enforcement Work? A Panel Analysis of OSHA Enforcement" (With Wayne Gray) <u>Law and Society Review</u> 27: 177- 213, 1993.
- "Analyzing the Equity and Efficiency of OSHA Enforcement" (with Wayne Gray). <u>Law and Policy</u>, 13: 185-214, 1992.
- "Will Taxpayers Ever Like Taxes? Responses to the 1986 Tax Reform Act" (With Marco Steenbergen and Kathleen McGraw) Journal of Economic Psychology 13:625-656 1992.
- "Behavioral Decision Theory and Tax Compliance: Beyond Deterrence" (with Jeff Casey). <u>Law and Society Review</u>, 25: 821-843, 1992.
- "Appeals to Civic Virtue versus Attention to Self Interest: Effects on Taxpayer Responses to New Tax Laws" (with Kathleen McGraw). Law and Society Review, 25:471-498, 1991.
- "Political Freedom and Political Stability: Nepal's 1991 Parliamentary Elections" (with Fred Gaige). <u>Asian Survey</u>, 31: 1040-1060, 1991.
- "Boundary Effects of Vague Risk Information on Taxpayer's Decisions" (with Jeff Casey). <u>Organizational Behavior and Human Decision Processes</u>, 50:360-394, 1991.
- "Street-level Political Controls over Federal Bureaucracy" (with Jim Twombly and Barbara Headrick). American Political Science Review, 85: 829-850, 1991.
- "Cooperative Regulatory Enforcement and the Politics of Administrative Effectiveness" <u>American Political</u> Science Review, 85: 115-136, 1991.
- "OSHA Enforcement and Workplace Injuries: A Behavioral Approach to Risk Assessment" (with Wayne B. Gray). <u>Journal of Risk and Uncertainty</u>, 3: 283-305, 1990.

- "Decision Frame and Opportunity as Determinants of Tax Cheating: An International Experimental Study" (with Henry Robbens, Henk Elffers, Dick Hessing, et al). <u>Journal of Economic Psychology</u>, 11: 341-364, 1990.
- "Regulatory Enforcement in a Federalist System," (with Feng-Heng Wei) <u>American Political Science Review</u>, 80: 1249-1270, 1986.
- "Coping With Complexity: A Bounded Rationality Perspective on Taxpayer Compliance," <u>Proceedings of the</u> 78th Annual Conference on Taxation, National Tax Association--Tax Institute of America, 1985.
- "Voluntary Compliance and Regulatory Enforcement," Law and Policy, 6: 385-405, 1984.
- "In Search of Regulatory Alternatives," <u>Journal of Policy Analysis and Management</u>, 4: 113-116, 1984.
- "Cooperation, Deterrence, and the Ecology of Regulatory Enforcement," <u>Law and Society Review</u> 18: 179-224, 1984.
- "Reliability, Responsiveness and Regulatory Policy," Public Administration Review, 44: 145-153, 1984.
- "The Criminology of the Corporation and Regulatory Enforcement Strategies," with Robert A. Kagan, <u>Jahrbuch fur Rechtssoziologie Und Rechttheorie</u>, 7: 352-377, 1981; reprinted in Keith Hawkins and John Thomas, eds, <u>Enforcing Regulation</u>. Hingham, Mass: Kluwer-Nijhoff, pp 67-96, 1984.
- "State Regulatory Reform and Federal Regulation," Policy Studies Review, 1: 347-359, 1981.
- "Trying to Control the Policy Process: Royal Strategies in "Nepal," with Leo E. Rose, <u>Contributions to Asian</u> Studies, 14: 89-102, 1979.
- "Nepal in 1977: Political Discipline or Human Rights," Asian Survey, 18: 135-141, 1978.
- "Nepal: Foreign Policy Determinants in a Small State," Asian Thought and Society, 3: 106-109, 1978.
- "Nepal in 1976: Problems with India Threaten Birendra's New Order," Asian Survey, 17: 201-207, 1977.
- "Elutriation Particle Separator," with D. R. Uhlmann & B. B. Chalmers, <u>Review of Scientific Instruments</u>, 36: 1813-1816, 1965.

Books

- <u>Adaptive Governance and Water Conflicts</u> (edited with Bruce Stiftel) Washington, D.C.: Resources for the Future, 2005
- Adaptive Governance and Water Conflicts: The Case Studies (with Aysin Dedekorkut and Bruce Stiftel ,eds.)

 Tallahassee, FL: Florida State University DeVoe L. Moore Center, 2003.
- <u>Taxpayer Compliance: An Agenda for Research</u> A National Academy of Sciences Report (with Jeffrey A. Roth and Ann Dryden Witte). Philadelphia: University of Pennsylvania Press, 1989.
- <u>Taxpayer Compliance: Social Science Perspectives</u> (Edited, with Jeffrey A. Roth). Philadelphia: University

of Pennsylvania Press, 1989.

Nepal: Profile of a Himalayan Kingdom (with Leo E. Rose). Boulder, Colorado: Westview Press, 1980.

Chapters in Books

- "The Role of Practitioners in Tax Enforcement and Compliance" Comments, *Tax Research*, 2007. Internal Revenue Service. 2007
- "Contractual Compliance: Tax Institutions and Tax Morale in the U.S" in Hayoz, Nicolas and Simon Hug (eds) *Tax Evasion, Trust, and State Capacities*. Peter Lang AG: Bern, Berlin, Bruxelles, Frankfurt am Main, New York, Oxford, Wien. 2007
- "The Challenges of Adaptive Governance" in John Scholz and Bruce Stiftel, eds. <u>Adaptive Governance and Water Conflicts</u> Washington, D.C.: Resources for the Future, 2005.
- "The Future of Adaptive Governance" in John Scholz and Bruce Stiftel, eds. <u>Adaptive Governance and Water Conflicts</u> Washington, D.C.: Resources for the Future, 2005.
- "Comments: Carrots or Just Deserts?" Pp 258-266 in <u>The Crisis in Tax Administration</u>. Joel Slemrod and Henry Aaron, eds. Washington, D.C.: Brookings Press. 2004.
- "Administrative Justice" (with Robert Howard) in Joseph Sanders and V. Lee Hamilton (eds.) <u>The Justice</u> Reader New York: Plenum. 2001.
- "Trusting Government: Fear, Duty, and Trust in Tax Compliance" in Valerie Braithwaite and Margaret Levi (eds.) <u>Trust and Governance</u> New York: Russell Sage Foundation, 1998.
- "Managing Regulatory Enforcement in the United States" in David Rosenbloom and Richard Schwartz (eds.)

 Handbook of Regulation and Administrative Law New York: Marcel Dekker, Inc., 1994.
- "Taxpayer Adaptation to the 1986 Tax Reform Act: Do New Laws Affect the Way Taxpayers Think about Taxes?" (with Kathleen McGraw and Marco Steenbergen) in Joel Slemrod, ed., Why People Pay Taxes: Tax Compliance and Enforcement. Ann Arbor: University of Michigan Press, 1992.
- "Political Education is Necessary to Foster Cooperative Regulatory Enforcement," in Jay Sigler and Thomas Murphy, eds. <u>Preventing Corporate Lawbreaking: New Approaches to Government-Business Interaction</u>. New York: Praeger/Quorum Books, pp 160-183, 1991.
- "Fairness and Compliance: The Impact of Discussion on Taxpayer's Decisions" (with Kathleen McGraw), in IRS, The Impact of Tax Reform Act of 1986 Conference Report. Washington, D.C.: Department of Treasury report 7302 (Rev. 3-90) 1990.
- "Compliance Research and the Political Context of Tax Administration," in Jeffrey A. Roth and John T. Scholz, eds., <u>Taxpayer Compliance: Social Science Perspectives</u>. Philadelphia: University of Pennsylvania Press, pp 12-46, 1989.
- "Federal vs. State Regulation: Does it Matter?" in Malcolm Feeley and Harry Scheiber, eds., Power Divided:

- <u>Essays on the Theory and Practice of Federalism</u>. Berkeley: Institute of Governmental Studies, pp 105-122, 1989.
- "Norms, Social Commitment, and Citizens Adaptation to New Laws" (with Kathleen McGraw), in Peter J. van Koppen, Dick J. Hessing and Grat van den Heuvel, eds., <u>Lawyers on Psychology and Psychologists on Law. Berwyn</u>, PA: Swets North America, pp 101-125, 1988.
- "Discretion and Enforcement Efficiency: Problems of Complexity, Contingency and Corruption," in Kenneth Hibbeln and Douglas Shumavon eds. <u>Administrative Discretion and Public Policy Implementation</u>. N.Y.: Praeger, pp. 145-156, 1986.
- "Nepal" (with Doss Mabe), in <u>Current History Encyclopedia of Developing Nations</u>. N.Y.: McGraw-Hill, pp. 206-209, 1982.

Papers under Review/ Revision

- "The Evolution of Cooperation between Principals and Agents: The Role of Networks in the Enforcement Game" (With Cheng-Lung Wang) R&R, AJPS
- "Policy Networks, Trust, and Cooperation" (with Ramiro Berardo and Brad Kile), R&R, JOP

Book under development:

Self-Governing Federalism: Coordinating Metropolitan Service Provision and Regional Resource Management

Dissertation and Theses

"Policy Processes and Rural Development: Land Reform in Nepal" (Ph.D. Dissertation, U.C./Berkeley, 1977).

"Rural Institutions and Economic Development: China's Commune Strategy for Political Development" (M.S. Thesis, U.C./Berkeley, 1974).

"Kathmandu and Countryside: Center-Periphery Decision-Making in Nepal" (M.A. Thesis, U.C./Berkeley, 1972).

"The Berlin Border-Pass Negotiations, 1963-1967: A Study of East-West German Relations" (B.A. Thesis, Harvard, 1968).

Book Reviews

- Ian Ayres and John Braithwaite, <u>Responsive Regulation: Transcending the Deregulation Debate</u> in <u>American</u> Political Science Review, 87: 782-783, 1993.
- Charles Noble, <u>Liberalism At Work: The Rise and Fall of OSHA</u> in <u>American Political Science Review</u>, 81:1371-1372, 1987.
- Lok Raj Baral, Nepal's Politics of Referendum: A Study of Groups, Personalities and Trends in Pacific Affairs, 58:730-731, 1986.

David Prindle, <u>Petroleum Politics and the Texas Railroad Commission</u>, in <u>American Political Science Review</u>, 77:762, 1983.

Elizabeth Sanders, Regulation of Natural Gas, in American Political Science Review, 77:218, 1983.

Paul Quirk, Industrial Influence in Federal Regulatory Agencies, in American Political Science Review, 76:

Piers Blakie, John Cameron, and David Seddon, Nepal in Crisis, in Pacific Affairs 54:749-50 (1981).

Lok Raj Bharal, Oppositional Politics in Nepal, in Journal of Asian Studies (1980)

Conference Papers and Reports

"Dynamics of Policy Networks: coordination or cooperation?" (with Ramiro Berardo) Midwest Political Science Association Annual Meeting, April, 2006

"Adaptive Governance: Roundtable" Midwest Political Science Association, Chicago, IL, April 2005.

"Do Networks Enhance Cooperation? Policy Communities, Issue Networks, and Joint Environmental Projects" American Political Science Association, August 2005.

"Cooperation between Principals and Agents: The Role of Networks in the Enforcement Game" (with Cheng-Lung Wang) American Political Science Association, Chicago, Illinois, September 2004.

"The Evolution of Cooperation between Principals and Agents: The Role of Networks in the Enforcement Game" (with Cheng-Lung Wang) Midwest Political Science conference, Chicago, Illinois, April 2004.

"Policy Networks, Trust, and Cooperation" (with Ramiro Berardo) Midwest Political Science Association, Chicago, IL, April, 2004.

"Contractual Compliance and the United States Income Tax System" Conference on Tax Evasion, Trust, and State Capacities, St. Gallen Switzerland, Oct 17-19, 2003.

"The Evolution of Cooperation in Principal-Agent Relationships: The Enforcement Game" American Political Science Association, Philadelphia, PA, August, 2003.

"Policy Network Dynamics: Resolving Collective Action Problems in Estuaries" Midwest Political Science Association, Chicago, IL, April, 2003.

"The Impact of Criminal Prosecutions on Tax Compliance" Research Report prepared for Criminal Investigation Division, Internal Revenue Service, January, 2003

"Carrots or Just Deserts: Comments on Cowell's *Carrots and Sticks*" presented at *Crisis in Tax Administration* Conference organized by Brookings Institution and Tax Policy Institute at University of Michigan. Washihngton, D.C. November 7-8, 2002.

"Income Tax Compliance: Maintaining Credible Commitments for Adaptive Contractarians" *Empirical Investigations of Taxation Workshop* Washington University Law School, November 1-2, 2002.

"Policy Networks and Collective Action: Can Institutional Design Strengthen Policy Networks?" Midwest Political Science Association, Chicago, IL, April, 2002.

"Community Controls Over Federal Enforcement Agencies" presented at the *Controlling the Bureaucracy* Conference, Texas A&M, February, 2002

"Environmental Governance: NEP Impacts on Networks and Perceived Policy Effectiveness" (With Lubell, Schneider) Decision-Making and Valuation for Environmental Policy Workshop (NSF/EPA), Washington, D.C., March 21 and 22, 2002

"Can Federal Policies Enhance Local Institutions?: Policy Networks in the National Estuary Program." Midwest Political Science Association, Chicago, IL, April, 2001.

"The Political Economy of Watershed Partnerships" (with Schneider, Lubell, and Edwards) American Political Science Association, Washington D.C.September, 2000.

"Cooperation, Transaction Costs, and Community-Based Environmental Protection" (with Schneider, Lubell, and Mete) American Political Science Association, Boston, MA September, 1998.

"Equity, Efficiency, and Politics: Democratic Controls on the Tax Collector (with B. Dan Wood) American Political Science Association, Washington, DC, September, 1997.

"Institutions and Social Capital in Experimental Social Dilemmas" (with Mark Lubell) American Political Science Association, Washington, DC, September, 1997.

"Democratic Controls over Tax Collection: Are Audits Equitable?" (with Dan Wood) American Political Science Association, San Francisco, CA, September, 1996.

"Trust, Citizenship, and Tax Compliance" Conference on Compliance and Governability, Urban Research Program, Australian National University, Canberra, August, 1996.

"The Trust Heuristic and Tax Compliance" (with Mark Lubell) Midwest Political Science Association, Chicago, IL, April, 1996.

"Trusting Government: Duty, Fear and Tax compliance" Conference on Trust and Governance, Research School of the Social Sciences, Australian National University, Canberra, February, 1996.

"Democratic Controls over Tax Enforcement." (with Dan Wood) American Political Science Association, Chicago, IL, September, 1995.

"Can Regulation Enhance Social Cooperation: A Signalling Model of OSHA Enforcement". Midwest Political Science Association, Chicago, IL, April, 1995.

"Theories of Taxpayer Compliance" Keynote address, Workshop on Tax Enforcement Policies, Australian Tax Office, Canberra, July 29, 1993.

"The Duty Heuristic" Workshop on Compliance and Noncompliance, Research School of the Social Sciences,

Australian National University, July 19-21, 1993.

"Can Cognitive Consistency Cure Collective Choice Dilemmas?" (With Neil Pinney) American Political Science Association, Chicago, IL, September, 1992.

Gray, Wayne B. and John T. Scholz. 1992. "How Effective are Complaint Inspections?" Commissioned Report Submitted to the Occupational Safety and Health Administration for P.O. B9F22045, June 24, 1992.

"Strengthening Democratic Institutions in Nepal", Alliance for Democracy and Human Rights, Columbia University, N.Y., May 9, 1992

"Will Taxpayers Ever Like Taxes? Responses to the 1986 Tax Reform Act" (With Marco Steenbergen and Kathleen McGraw), International Association of Economic Psychology, Rotterdam, March 20, 1992

"Managing Regulatory Enforcement in the United States" presented at the symposium "Managing Regulation", sponsored by American University, School of Public Affairs, Washington, D.C. May 16, 1991.

"Taxpayer Adaptation to the 1986 Tax Reform Act: Do New Laws Affect the Way Taxpayers Think about Taxes?" (with Marco Steenbergen and Kathleen McGraw). Conference on Tax Compliance and Tax Law Enforcement, University of Michigan, December 7-8, 1990.

"Citizenship and the Cognitive Structure of Tax Compliance: Citizenship Rights versus Duties" (with Marco Steenbergen and Kathleen McGraw). American Political Science Association, San Francisco, CA, September, 1990.

"Persuasive Communication and Tax Compliance: A Replication of the Schwartz-Orleans Experiment" (with Kathleen McGraw). Law and Society Association Meetings, Berkeley, June, 1990.

"Political Education is Necessary to Foster Cooperative Regulatory Enforcement." BEST Conference on Preventing Corporate Lawbreaking, New York University, April 21, 1990.

"Cooperative Regulatory Enforcement and the Ironies of Political Interests." Midwest Political Science Association Meetings, Chicago, Illinois, April 6, 1990.

"The Role of Discussion in Taxpayer Adaptations to the 1986 Tax Reform Act." IRS Annual Research Conference on Tax Compliance, Washington, D.C., August 1,2, 1989.

"Ambiguity and Fairness in Tax Compliance Decisions." Peat Marwick Foundation Annual Tax Professor' Symposium, Washington, D.C., August 1,2, 1989.

"Taxpayer Adaptations to the 1986 Tax Reform Act." Law and Society Association Meetings, Madison, June 8-11, 1989 (with Kathleen McGraw).

"Effects of Imprecise Risk Information on Taxpayer Compliance Decisions." Law and Society Association Meetings, Madison, June 8-11, 1989 (with Jeffrey Casey).

"Ambiguity, Risk, and Tax Compliance: An experimental analysis of tax behavior," IRS Conference: Change and Complexity as Barriers to Taxpayer Compliance, Washington, D.C., November 17-18, 1988 (with Jeffrey

Casey).

"Federal vs. State Regulation: Does it Matter?" 1988 Berkeley Seminar on Federalism, UC/Berkeley, Nov. 3-4, 1988.

"Home Style Political Controls over Federal Bureaucracy: OSHA Enforcement at the County Level," American Political Science Association Meeting, Washington, D.C., Sept 1-4, 1988.

"Norms, Social Commitment, and Citizens Adaptation to New Laws," European Conference on Law and Psychology, Maastrict, Netherlands, June 23-25, 1988.

"Self-interest, Social Commitment, and Citizen Adaptation to New Laws: an Experiment in Media Effects," Law and Society Association Meetings, Vail, June 9-12, 1988 (with Kathleen McGraw).

"A Behavioral Approach to Compliance: OSHA Enforcement's Impact on Workplace Accidents" Law and Society Association Meetings, Vail, June 9-12, 1988 (with Wayne Gray).

"Bureaucratic Environments and Regulatory Enforcement Effectiveness: A Theory of Cooperative Enforcement" American Political Science Association Meeting, Chicago, Sept., 1987.

"The Political Context of Tax Administration." National Academy of Sciences Conference, Symposium on Taxpayer Compliance Research, January 1986.

"Political and Task Responsiveness of Enforcement Bureaucracies: The Case of OSHA." American Political Science Association, September 1985 (with Feng Heng Wei).

"Deterrence, Diligence and Duty: Models of Compliance." Midwest Political Science Association Meeting, April 1985.

"Adaptive Processes in Specialized Institutions," Conference on Adaptive Institutions, Stanford University, November 1984.

"Targeting Regulatory Enforcement," Law and Society Association Meeting, Boston, June 1984.

"The Adaptive Capacities of Specialized Policy Processes: A Formal Model of Disjointed Incrementalism," Midwest Political Science Association Meeting, Chicago, April 1984.

"The Emergence of Cooperation with Central Authority," American Political Science Meeting, Chicago, September 1983.

"Budgeting and Organizational Learning: A Formal Model of Organizational Adaptation," American Political Science Meeting, Denver, September 1982.

"Voluntary Compliance and the Regulatory Dilemma," Law and Society Association Meeting, Toronto, June 1982.

"Toward a Theory of Regulatory Compliance," Hendricks Symposium on Regulatory Policy, Lincoln, Nebraska, May 1981.

"Regulatory Enforcement Strategies and Corporate Compliance," Midwest Political Science Association

Meeting, Cincinnati, Ohio, April 1981.

"Underspending and Agricultural Development," Nepal Studies Association Conference, Claremont, CA, May 1974.

Other Professional Activities

Panel Organizer, Network Analysis in Political Science, APSA, Chicago, 2007

Conference Co-organizer (with Richard Feiock) Critical Issues Symposium 2007. Self-Governing Federalism: Coordinating Metropolitan Service Provision and Regional Resource Management. February 15-17, 2007, Florida State University

President, Caucus on Public Administration, Midwest Political Science Association, 2006-2007

Section Organizer, Caucus on Public Administration, Midwest Political Science Association Annual Meeting, April, 2006

"Author Meets Critics: *Adaptive Governance*" Session at Midwest Political Science Association Annual Meeting, April, 2006

"Integrating Land Use and Water Management in Florida" organizer and introductory speaker at Environmental Law Forum, FSU, March 28, 2006

Conference Co-organizer (with Bruce Stiftel) Critical Issues Symposium 2003: Adaptive Governance and Florida's Water Conflicts. November 21-22, 2003. Florida State University

Panel discussant: Regulatory Policy Enforcement, Annual Meeting of the APSA, Boston, 2002.

Political Methodology Conference, University of Washington, Seattle, WA July, 2002

Internal Revenue Service: Research Conference, Washington, D.C., June, 2002

"Does Social Capital Matter?" discussant, Midwest Political Science Association, Chicago, IL, April, 2002.

Roundtable Discussion: The Scientific Study of Bureaucracy, Midwest Political Science Association, Chicago, IL, April, 2001.

Forum on Deterrence of Environmental Violations and Environmental Crimes, discussant, organized by the National Institute of Justice for the EPA (Office for Enforcement and Compliance Assurance) and DOJ (Environment and Natural Resources Division), Washington Marriot, Washington, D.C., July 12-13, 1999

"Deterring Corporate Misconduct," Invited commentator, Duke Institute for Law and Economic Policy, Naples Florida, November 14, 1997

"National Performance Standards for EPA's OECA," invited panel participant, Capstone Conference, Washington, D.C., October 7, 1997

"Adaptive Political Attitudes: Duty, Trust, and Fear as Monitors of Tax Policy "Invited lecture, University of

Arizona, March 6, 1997.

"Trust, Tax Change, and Taxpaying", invited lecture, Texas A&M, February 13, 1997

"Politics, Principles, and Tax Collection," invited presentation at the American Bar Foundation, April, 1996, Chicago.

"Roundtable on Trust" Annual Meeting of the APSA, New York, September 2, 1995.

"Compliance, Consent and Citizenship Obligation", organizer and discussant, Annual Meeting of the APSA, New York, September 2, 1994.

"The Role of Project-based Audits for the Business Audit Group: A Brief Evaluation", report to the Australian Tax Office, October, 1993.

"Do Good Citizens Pay their Taxes?" invited lecture, Harvard University, November 19, 1993.

"Three Images of Tax Enforcement", invited lecture, Australian Tax Office, Adelaide, August 12, 1993.

"Contingent Compliance with Tax Laws", invited lecture, Urban Research Program, Research School of the Social Sciences, Australian National University, Canberra, August 9, 1993.

Invited participant, Workshop on Regulatory Law Enforcement, College of Law, Ohio State University, May 20, 21, 1993.

"Direct Democracy and Enforcement Effectiveness at OSHA", invited lecture, University of Arizona, January 29, 1993.

"Greed, Duty, and Cognitive Consistency in Tax Schemas", invited lecture, Ohio State University, Nov 13, 1992.

Discussant, Panel "Toward the Unification of Political Science", Annual Meeting of the APSA, Washington, D.C., September 3, 1992.

Consultant, OSHA study group on compliant inspections, and author of report on the effectiveness of complaince inspections (with Wayne Gray) 1992.

Discussant, Panel on The Politics of Policy Implementation, Annual Meeting of the APSA, Washington, D.C., August 31, 1991

Discussant, Panel on Positive Incentives and Taxpayer Attitudes, Annual IRS Research Conference, Washington, D.C., November 15-16, 1990.

Presenter, Public Release of Tax Compliance Study, National Research Council, Washington, D.C. April 5, 1989.

Organizer, Dutch vs US Tax Reform, (with Erasmus University, Rotterdam) Washington, D.C. March 14-16, 1989.

Consultant, IRS Compliance Task Force, Washington, D.C., February 3, 1989.

Participant, Workshop on International Tax Experiment, Erasmus University, Rotterdam, Netherlands, June 27-29, 1989.

Participant, Planning Meeting on the Impacts of Regulatory Reform, National Research Council, Washington, D.C., November 1,2, 1988.

Participant, Symposium on Political Economy SUNY-Stony Brook, October 31, 1988.

Discussant, Panel: "Studying the Dynamics of Public Policy: Third Generation Research Designs" American Political Science Association Meeting, Washington, D.C., September 1-4, 1988.

Consultant, Commissioner's Task Force on Penalties, May-December, 1988.

Participant, Invitational Conference on Income Tax Compliance, ABA, Washington, D.C., April 15-16, 1988. Consultant, Environmental Compliance Theories, Boston, June 14, 1987.

Convener, Conference on Tax Compliance Research, National Science Foundation, Washington, November 1987.

Discussant, Research Conference on the Role of Tax Practitioners in the Tax System, Washington, November 1987.

Discussant, "Economics, Institutions, and the Study of Federalism," American Political Science Association Meeting, Chicago, September 1987.

Discussant and Chair, Regulatory Enforcement and OSHA, Law & Society Association Meeting, Washington, June 1987.

Co-Organizer, "Roundtable on Compliance and Enforcement Studies" and five panels on Regulation of Business, Law and Association Meeting, Washington, June 1987.

Chair, "Tax Compliance," Law and Society Association Meeting, June 1986.

Consultant, President's Commission on Organized Crime 1986.

Organizer, Conference on Taxpayer Compliance, National Academy of Sciences, Padres Island, Texas, January 15-17, 1986.

Seminar on Tax Amnesty, U.S. Dept. of Treasury, November 25, 1985.

Chair, "Roundtable: Towards A More Adequate Theory of Compliance." Law and Society Association Meeting, June 1985.

Discussant, "Federal Regulation as a Dynamic Process." Midwest Political Science Association Meeting, April 18, 1985.

Panel Convener, "Roundtable: Perspectives on formal Models of Public Bureaucracy," and Panel Chairman, "Substantive Models of Bureaucracy," American Political Science Meetings, September 1984.

Chairman, "Roundtable on Regulatory Enforcement," Law and Society Association Meeting, June 1984.

Discussant "Regulation and the Limits of Great Expectations," N.Y. State Political Science Association, New York, March 1981.

Moderator, "The Politics of Bhutan in the New Gandhi Era," Asia Society, New York, March 24, 1980.

Moderator and commentator, "Politics of the Himalayas," Asia Society, New York, Dec. 10, 1979.

"The Referendum in Nepal," Asia Society, New York, November 1979.

Panelist, E.E.O.C. Conference, SUNY/Stony Brook, October 1979.

Panelist, California Water Policy Conference, Bay Area Council, San Francisco, June 1979.

Organizer, Regulatory Enforcement Workshop, U.C. Berkeley, May 1978.

PROFESSIONAL EXPERIENCE

Manuscript and Grant Reviews

American Journal of Political Science

American Journal of Public Health

American Political Science Review

Asian Survey

Center for South/Southeast Asian Studies

Houghton Mifflin

Journal of Economic Psychology

Journal of Law, Economics, and Policy

Journal of Politics

Journal of Theoretical Political Science

Law & Policy

Law & Social Inquiry

Law and Society Review

Longman Press

National Science Foundation (Programs in Political Science, Law and Social Science, Decisional Sciences, joint Stars (DMVEP) program with EPA, Science and Technology Centers)

National Sea Grant

National Tax Journal

Pacific Affairs

Political Research Quarterly

Public Administration Review

Russell Sage Foundation

SUNY Press

University of Michigan Press

Woodrow Wilson Center

Tenure reviews for Florida State, Texas A&M, University of North Carolina, West Virginia, Georgia, Stony Brook, Michigan.

Editorial Advisory Board

<u>Public Administration Review</u> (1995-2001) <u>Law and Policy</u> (1984--)

Editor

Nepal Studies Association Newsletter (1972-1975).

Executive Committee Member

Nepal Studies Association (1974-1977).

Departmental Service

Executive Committee	2001-2003, 2004 -2006
Graduate Committee	2002-2004
Director, Graduate Program	1987-1992
Faculty Recruitment Committee	1979-
Ph.D. Program Committee	1982-1984
Budget Computerization	1983
OPAS Committee (NSF)	1981
Director, M.A. Program (Acting)	1981
Public Policy Examination Committee	1980-84
Freshman and transfer student advising	Summer 1980

University Service

isity betvice	
Faculty Senate (COSS)	2006
Promotion and Tenure, COSS	2003
Promotion and Tenure, University	2003
Honors College Committee	1995
Chair, Committee to Develop Quantitative Research Minor in	
Social and Behavioral Sciences	1995
Judge, Social Science Division, Long Island Science Fair	
1994	
Graduate Council Fellowships and Awards Committee	1994
Associate Dean	1993-1996
M-RAP Supervisor	1990,1,2
Social Science Research Council	
1986-88	
Graduate Marshal,	
23rd Commencement	1983
Self-study Group on University Organization from a User's Point of View	
1982-1983	
Cooperative Education Committee	1980-1981

Industrial Relations Program Review Committee

1981

Current Graduate Student Supervision:

Ramiro Berardo (Advising, expected completion 2006), Soo-Hyun Jung (advisor, expected completion 2007), Edgar Ramirez (Committee member, 2006), Wendy Gao (Committee member, 2007), Mellani Sloan (Committee member)